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2836

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/067,139
Filing Date	October 22, 2001
First Named Inventor	Elliot Hohri
Group Art Unit	2836
Examiner Name	Unknown
Attorney Docket Number	11564.0042.NPUS00

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

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Form PTO-1449 (with copies of 7 cited references)

Remarks

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Billy C. Allen III, Reg. No. 46,147 HOWREY SIMON ARNOLD & WHITE, LLP
Signature	
Date	6/5/02

## CERTIFICATE OF EXPRESS MAILING

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Elliot Hohri

Serial No.: 10/067,139

Filed: October 22, 2001

For: METHODS FOR APPARATUS FOR  
TRANSFER CONTROL AND  
UNDERVOLTAGE DETECTION IN AN  
AUTOMATIC TRANSFER SWITCH

Group Art Unit: 2836

Examiner: Unknown

Atty. Dkt. No.: 11564.0042.NRE/SO8

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

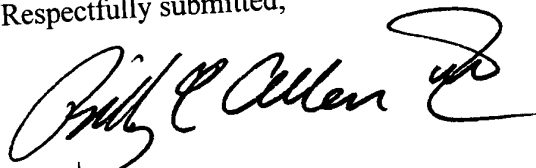
In accordance with 37 C.F.R. §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the

filing of this Information Disclosure Statement, however, should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, the Commissioner is authorized to deduct said fees from Deposit Account No. 01-2508/11564.0042.NPUS00.

Applicant respectfully requests that the listed documents be made of record in the present case.

Respectfully submitted,



Billy C. Allen III  
Reg. No. 46,147

HOWREY SIMON ARNOLD & WHITE, LLP  
750 Bering Drive  
Houston, Texas 77057-2198  
713-787-1400

Date:

6/5/02

Form PTO-1449 (modified)

Atty. Docket No.  
11564.0042.NPUS00Serial No.  
10/067,139

List of Patents and Publications for Applicant's

Applicant  
Elliot Hohri

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:  
October 22, 2001Group:  
2836U.S. Patent Documents  
See Page 1Foreign Patent Documents  
N/AOther Art  
N/A

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	6,064,172	05/16/2000	Kuznetsov	318	716	02/11/1997
	A2	5,629,658	05/13/1997	Chen	335	201	08/18/1992
	A3	5,041,775	08/20/1991	Erdman	318	812	09/01/1988
	A4	4,811,163	03/07/1989	Fletcher	361	8	01/14/1987
	A5	4,604,557	08/05/1986	Cowles	318	98	10/10/1984
	A6	4,289,941	09/15/1981	Cannon	200	146R	12/18/1978
	A7	4,152,634	05/01/1979	Penrod	318	739	12/22/1976

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EXAMINER:

DATE CONSIDERED:

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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)